

AXA INVESTMENT MANAGERS

Important Information for the investors in Denmark, Finland, Norway and Sweden

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Please note that in any case, subscription shall be based solely on such relevant documentation to be provided to the subscribers prior to their investment.

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These terms of use apply to your use of this Website and it is therefore important that you read them carefully.

Any person who accesses and uses this Website shall be deemed as agreeing to these terms of use, in the version in force at the time the site is used. If you have any questions on these terms of use, please contact us (see contact details above).

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You can refuse installation of cookies by using the option « do not track » or configuring your browser as following:

Mozilla Firefox 54.0 and above

- Click on Tool menu, Options, Privacy; in Track, click on “manage the do not track Setting”. Do not check this box
- Search Cookie and choose your option

Microsoft Internet Explorer 11.0 and above

- Click on Tool icon then Internet Options: advanced options/ Security/ uncheck “Do Not Track” request
- Click on tool icon, internet options/ Confidentiality: Select appropriate level

Google Chrome 59.0 and above

- Click on Tool/ settings/ advanced/ Privacy and Security, un-check “Send do not track request”
- Click on Tool/ settings/ advanced, click on Content settings/ Cookies: “Allow sites to save and read cookie data

Safari 4.0 and above

- Click on Tool then Preferences
- Go to the tab Security

If you meet any issue, please contact our webmaster at the following address:

webmaster-COM@axa-im.com and indicate your browser, computer or mobile device configuration.

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When consulting this website, AXA Investment Managers (AXA IM) collects your Data, specifically your IP address and, where applicable, your first name, surname and email address via forms. AXA IM, as data controller, undertakes that the collection and handling of this Data for information or external communication purposes shall comply with legal and regulatory provisions.

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September 2017

Important Information for the investors in Iceland

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Furthermore it is referred to the definition of the Regulation S according to the U.S. Securities Act of 1933.

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Investment advisors render a service which is independent of AXA Investment Managers, and don't act on our behalf. Therefore AXA Investment Managers assumes no liability for actions or omissions of investment advisors.

Investor complaints

All complaints can be submitted in writing free of charge at the company AXA Investment Managers Deutschland GmbH, FAO: Compliance Office, Bleichstraße 2-4, 60313 Frankfurt am Main.

AXA Investment Managers has joined the arbitration body “Ombudsstelle für Investmentfonds” of the German Investment Funds Association (BVI).

Retail clients have the option to call for this arbitration body for the settlement of disputes with the company. Disputes arising in connection with the provisions of the German Capital Investment Code (Kapitalanlagegesetzbuch) can be resolved here by an independent and neutral arbitrator. Further details are determined in the rules of procedure of the arbitration body for investment funds of the BVI („Verfahrensordnung der Ombudsstelle für Investmentfonds des BVI“). Applications for arbitration must be sent in writing to the office of the arbitration body of the BVI, residing Unter den Linden 42, 10117 Berlin, Germany. You can find further information relating to the arbitration body for investment funds and its procedures at <http://www.ombudsstelle-investmentfonds.de/>.

Please contact us first in case of problems, before you initiate a proceeding at the arbitration body for investment funds. So much can be cleared on this way. We are happy to help you.

As regards disputes arising in connection with the provisions of the German Civil Code (Bürgerliches Gesetzbuch) regarding distance selling contracts for financial services (Fernabsatzverträge über Finanzdienstleistungen) the parties involved can also contact the arbitration body of the German Central Bank (Deutsche Bundesbank), Postfach 11 12 32, 60047 Frankfurt, Germany, Tel.: +4969/2388-1907 or – 1906, Fax: +4969/2388-1919, schlichtung@bundesbank.de.

The right to call the ordinary courts of law remains unaffected.

Furthermore it is recalled, that the AXA Investment Managers Deutschland GmbH is not willing or obliged to participate to other dispute resolutions before a consumer arbitration board according to the German law on alternative dispute resolutions (Verbraucherstreitbeilegungsgesetz).

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For the company, acting in customer’s best interest is one of the key elements of the philosophy in dealing with its clients. Potential conflict of interests with clients can oppose this aim, which is why the company took different precautions in handling with conflict of interests.

You can find a written summary of our principles via the following link : [Information on dealing with conflicts of interest](#).

Exercise of shareholders rights

We think that a good corporate governance contributes significantly to the company value, risk management and efficient capital markets. The exercise of shareholders rights is a fundamental part of a good corporate governance.

You can find a written summary of our principles via the following link: [Information on dealing with conflicts of interest by exercise of voting - and shareholder rights.](#)

Best execution

We believe that the introduced requirements regarding “Best Execution” reflect our current approach. Nevertheless the new rules require us to inform you about our execution principles for achieving the best possible results when executing orders of securities.

You can find a written summary of our execution principles via the following link: [Best Execution Policy.](#)

Furthermore you can find an overview via this link of the security broker we have used for the execution of orders: [Counterparty- / Broker list of the AXA Investment Managers Deutschland GmbH.](#)

Moreover you can ask for further details via the following email address at any time: clientserviceifa@axa-im.com

If you have other questions around the topic “important client information” please don’t hesitate to contact either us or your personal investment advisor.

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All information herein contains information regarding the companies of the AXA Investment Managers group. AXA Investment Managers Deutschland GmbH is a capital investment company (Kapitalverwaltungsgesellschaft) according to German law and authorised for distribution of their products in Germany. As it regards Iceland the distribution of products authorised for distribution in Iceland is performed by Landesbankinn hf. Austurstræti 11, 155 Reykjavík. You will receive the latest prospectus, Key Investor Information Document (“KIID”) – if applicable – and the most recent annual or semi-annual report for funds distributed by the AXA Investment Managers Group in Iceland upon request and free of charge in paper form from Landesbankinn hf. Austurstræti 11, 155 Reykjavík or from our website www.axa-im.de

Imprint

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VAT identification number according to § 27 a of the German Value Added Tax Act (Umsatzsteuer-Identifikationsnummer gemäß § 27 a Umsatzsteuergesetz): DE 122786679

Competent Supervisory Authority (zuständige Aufsichtsbehörde): Federal Financial Supervisory Authority (Area of Securities and Asset Management Supervision) (Bundesanstalt für Finanzdienstleistungsaufsicht (Bereich Wertpapieraufsicht / Asset Management))

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Editor: AXA Investment Managers Deutschland GmbH, As of 19 October 2016

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On our website information is provided for our clients and in principle no personal data will be collected or processed. Personal data means any information concerning the personal or factual circumstances (e.g. the name, date of birth, postal- or email address or telephone number) of an identified or identifiable individual. These will only be collected, processed and used by us, as far as needed for the delivery of the offered services. Please note that you transmit these data usually as part of your communication – e.g. via email – with us.

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For the usage of this website it may be needed that we install cookies on your computer, in particular to facilitate the navigation on this website for you. A cookie collects information with regard to the navigation of your computer on this website (which sites you have visited, date of access and time of access). We can use this information on your next visit. This information will be used for statistical reasons with regard to the usage of the website, in particular regarding which sites have been visited and how much time was spent on the single sites on average.

You may oppose the installation of cookies by setting your browser in the following manner:

Mozilla Firefox 54.0 and above

- Click on Tool menu, Options, Privacy; in Track, click on “manage the do not track Setting”. Do not check this box
- Search Cookie and choose your option

Microsoft Internet Explorer 11.0 and above

- Click on Tool icon then Internet Options: advanced options/ Security/ uncheck “Do Not Track” request
- Click on tool icon, internet options/ Confidentiality: Select appropriate level

Google Chrome 59.0 and above

- Click on Tool/ settings/ advanced/ Privacy and Security, un-check “Send do not track request”
- Click on Tool/ settings/ advanced, click on Content settings/ Cookies: “Allow sites to save and read cookie data

Safari 4.0 and above

- Click on Tool then Preferences
- Go to the tab Security

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As user of this site you have the right to view and to have corrected any information stored about you. Contact us on: webmaster-DE@axa-im.com.

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Contact person regarding data protection

If you should have further questions regarding data protection at AXA Investment Managers, our data protection officer remains at your disposal:

AXA Investment Manager Deutschland GmbH
Data Protection Officer / Datenschutzbeauftragter

Im MediaPark8a

50670 Köln, Germany: <https://www.axa-im.de/important-info>